Attorney Docket No. YOR920010334US1

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E UNITED STATES PATENT AND TRADEMARK OFFICE

Signature:

1450, Alexandria, VA 22313-1450.

Patent Application

Applicant(s): J.L. Hellerstein et al.

Docket No.:

YOR920010334US1

Serial No.:

09/896,254

Filing Date:

June 29, 2001

Group:

2164

Examiner:

To Be Assigned

Title:

Automated Service Level Management

in Financial Terms

TRANSMITTAL LETTER

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is the following document relating to the above-identified patent application:

(1) Response to Restriction Requirement.

There is no additional fee due in conjunction with the response. In the event of any nonpayment or improper payment of a required fee, the Commissioner is hereby authorized to charge or to credit International Business Machines Corporation Deposit Account No. 50-0510 as required to correct the error.

Respectfully submitted,

Date: August 31, 2006

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Attorney Docket No. YOR920010334US1

e: <u>August 31, 2006</u>

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Commissioner for Patents, Washington, D.C. 20231.

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application

Applicant(s): J.L. Hellerstein et al. Docket No.: YOR920010334US1

Serial No.: Filing Date:

09/896,254 June 29, 2001

Group:

3628

Examiner:

Nga B. Nguyen

Title:

Automated Service Level Management

in Financial Terms

RESPONSE TO RESTRICTION REQUIREMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the outstanding Office Action dated August 1, 2006, Applicants present the following remarks for consideration.

REMARKS

In the outstanding Office Action, the Examiner required restriction of claims 1-39 of the above-referenced application to one of the following two groups of claims: claims 1-24 (Group I) and claims 25-39 (Group II).

The Examiner states that the claims in each group are distinct because "the apparatus as claimed [in claim 1] can be used to practice another materially different method."

Applicants respectfully traverse the restriction requirement for at least the following reasons.

The apparatus of claim 1 (Group I) recites at least one processor operative to: (i) maintain an electronic contract that contains information pertaining to descriptions of one or more business transactions in IT terms, financial implications of one or more business transaction service levels, and reporting to be performed in one or more financial terms; and (ii) measuring the operation of the IT system in terms of one or more business metrics based on the electronic contract. Claim 25